



TO: Ben Saunders
HID Global
611 Center Ridge Drive
Austin, TX 78753

FROM: Matthew Arnold on behalf of the FIPS 201 Evaluation Program

DATE: 7/27/2023

RE: **Update of Approval of Products/Services for Listing on the GSA APL**

Office of Management and Budget (OMB) issued M-19-17 that requires Federal Agencies to procure only qualified products and services listed on the GSA Approved Products List (APL) when implementing HSPD-12 into their environment. Procurement of approved products and services facilitates the government-wide objective of a federated and interoperable ICAM segment architecture. It ensures compliance, consistency, and alignment of commercially available products and services with the requirements and functional needs of government ICAM implementers.

Accordingly, the FIPS 201 Evaluation Program (Program) is very pleased to inform you that the following product/service has passed testing against all applicable Testing Requirements. Please see the attached document that summarizes the specific parameters of the approval (e.g., product/service profile, what your product/service was tested with). Your product/service will now be listed on the APL as shown below. If any items need correction in the listing information, please notify me within five (5) business days of receiving this letter.

Approval Authorization Letter

1 Category-Validation System

• (APL# 10113)

- o pivCLASS Registration Engine for Lenel OnGuard
- o pivCLASS Certificate Manager
- o pivCLASS Fixed Reader Services
- o pivCLASS Embedded Authentication for LNL-X4420 Firmware
- o pivCLASS IDPublisher¹
- o pivCLASS Enrollment SDK for OnGuard
- o pivCLASS Authentication Module (former APL#10028)

1.1 Product/Service Information

Organization Name:	HID
Name of Product or Service:	HID pivCLASS Registration Engine for LenelS2 OnGuard v8.1
Part Number:	PVCP-D/S-14-00
Hardware Version:	
Software Version:	5.23.1
Firmware Version:	

Organization Name:	HID
Name of Product or Service:	HID pivCLASS Certificate Manager
Part Number:	PVC-CM
Hardware Version:	
Software Version:	5.23.1
Firmware Version:	

Organization Name:	HID
Name of Product or Service:	HID pivCLASS Fixed Reader Service
Part Number:	PVC-FXRD
Hardware Version:	
Software Version:	5.23.1
Firmware Version:	

Organization Name:	HID
Name of Product or Service:	pivCLASS Embedded Authentication for LNL-4420
Part Number:	VAS00530
Hardware Version:	Mercury 1.30.4
Software Version:	
Firmware Version:	5.21.19

Organization Name:	HID
Name of Product or Service:	HID pivCLASS ID Publisher ¹
Part Number:	PVC-IDPUBUNL
Hardware Version:	
Software Version:	5.23.1
Firmware Version:	

Organization Name:	HID
Name of Product or Service:	HID pivCLASS Enrollment SDK for OnGuard
Part Number:	PVC-API-RTL
Hardware Version:	
Software Version:	5.23.1
Firmware Version:	

Organization Name:	HID
Name of Product or Service:	HID pivCLASS Authentication Module (PAM) (Former APL# 10028)
Part Number:	91000BBNNN
Hardware Version:	
Software Version:	
Firmware Version:	5.20.24

1.2 Document History

Status	Date	Comments
Approved	6/15/2017	Final Approval Date for APL# 10113 : HID pivCLASS Validation System (Software Version 1.3.3.0)
Approved	8/20/2017	Updated approval for APL# 10113 : HID pivCLASS Validation System (Software Version 5.5.1, Embedded Authentication firmware 5.4.137)
Approved	1/31/2020	Product updates and consolidation of products APL #10028 into this listing. Updated to HID 5.10.1.0 and Lenel 7.5 versions
Update	4/8/2021	Whole solution update to OnGuard 8.0 and pivClass 5.15.1

¹ Meets one or more optional test cases in FRTC, but not required for minimum FICAM compliance.

Update	11/18/2021	Addition of HID Signo readers to solution.
Update	2/8/2022	Addition of ASSA ABLOY integrated readers.
Correction	2/17/2022	Description update of HES lock
Update	3/16/2023	Update on HID pivCLASS Validation System 5.23.1 and Lenel 8.1
Update	7/27/2023	Update to add ASSA Abloy readers to PIV Reader Restrictions. Updated firmware for LNL-4420 to 5.21.19 and pivCLASS Enrollment SDK to 5.23.1

1.3 Restrictions:

This product has been tested and approved as a component of a fully compliant FICAM Solution. The end-to-end solution components used to test the FICAM compliance are listed below.

- PACS Infrastructure (APL #**10112 and 10027**)
 - OnGuard 8.1 Software for ES, ADV, PRO or Enterprise system types
 - Lenel Single Reader Network Board
 - Series 3 Single Reader interface
 - Lenel Series 3 Dual Reader Interface
 - CTX Enclosure w/Power Supply 6 Amp and PD4
 - Authentication Module Solution
 - pivCLASS Authentication Module
 - Access Series Intelligent System controller (ISC)
 - Access Series Intelligent System Dual Door Controller with built in Dual Reader Interface module (IDRC)
 - Access Series Intelligent System Single Door Controller (ISDC) edge controller w/POE
 - Embedded Solution
 - Intelligent System Controller with Dual reader interface, includes pivCLASS Embedded Authentication
- PIV Reader
 - pivCLASS RPKCL40 Contact/Contactless Reader with PIN VAS0300 Rev. B.1 (APL# **10008**)
 - pivCLASS RKCL40 Contact/Contactless Reader VAS0300 Rev. B.1 (APL# **10007**)

- o pivCLASS RPK40 Contact/Contactless Reader VAS0300 Rev. B.1 (APL# **10005**)
- o pivCLASS RK40 Contactless Reader VAS0300 Rev. B.1 (APL# **10004**)
- o pivCLASS RP40 Contactless Reader VAS0300 Rev. B.1 (APL# **10003**)
- o pivCLASS R40 Contactless Reader VAS0300 Rev. B.1 (APL# **10006**)
- o pivCLASS RPKCLB40 Contact/Contactless Reader with PIN & BIO VAS0300 Rev. B.1 (APL# **10026**)
- o pivCLASS RKCLB40 Contact/Contactless Reader with PIN & BIO VAS0300 Rev. B.1 (APL# **10052**)
- o pivCLASS R10 Contactless Reader Rev. B.1 (APL# **10085**)
- o pivCLASS RPK40 Contactless Reader + PIN, HDX OSDP (APL# **10116**)
- o Embedded Only
 - Veridt Dual Bio Contact/Contactless Reader (APL# **10092**)
 - Veridt Stealth Dual Contact/Contactless Reader (APL# **10093**)
 - Veridt Stealth Lite Dual Contact/Contactless Reader (APL# **10094**)
 - Veridt Stealth Contactless Reader (APL# **10095**)
 - Veridt Stealth Lite Contactless Reader (APL# **10096**)
 - HID Signo contactless smart card reader with keypad (APL #**10134**)
 - HID Signo contactless smart card reader (APL #**10135**)
 - HID Signo contactless smart card reader with keypad, mullion (APL #**10136**)
 - HID Signo contactless smart card reader, mullion (APL #**10137**)
 - ASSA ABLOY Corbin Russwin integrated lock with Signo reader - Contactless Only (APL #10138)
 - ASSA ABLOY Corbin Russwin lock integrated with Signo reader with Keypad - Contactless Only (APL #10139)
 - ASSA ABLOY Sargent lock integrated with Signo reader - Contractless Only (APL #10140)
 - ASSA ABLOY Sargent lock integrated with Signo reader with Keypad - Contactless Only (APL #10141)
 - ASSA ABLOY HES Server Cabinet Lock integrated with HID pivCLASS reader - Contactless Only (APL #10142)

Approval of the above product/service indicates your cooperation with the Program and successful testing against a rigorous, comprehensive set of functional requirements derived from government-wide specifications. Testing included positive and negative test cases and threat vectors.

Given the strategic government-wide importance of the APL, the scope and extent of testing performed on your product/service, and the rigor of that testing, the Program would like to acknowledge the noteworthiness of the approval and listing. Your product/service will now be available to all Federal Agencies for procurement.

Please note that continued listing on the APL requires ongoing conformance to all applicable requirements, including requirements added or amended over time. A listed product/service can become non-conformant for various reasons, including but not limited

to the following:

- Failure to pass testing against new and revised requirements before the effective date of the new/revised requirements.
- Problems discovered in your listed product/service (or class of product/service) should be addressed within the time frames specified by the Program.

Refer to applicable Agreements and Program documents for details regarding ongoing compliance, including severity levels and remediation time frames. Listed products and services that fall out of conformance will be removed from the APL and added to the Removed Products List (RPL).

If you have any questions, please feel free to contact me.

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